

# Evolution of Seismic Design Value Maps Over Time

May 19, 2022

**Ronald O. Hamburger**  
 Project 17 Director



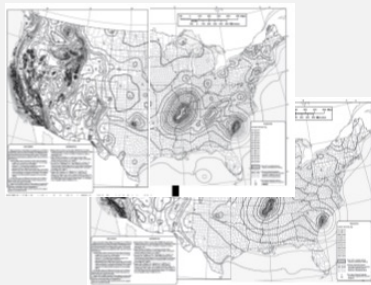
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## 1935 UBC Seismic Zone Map

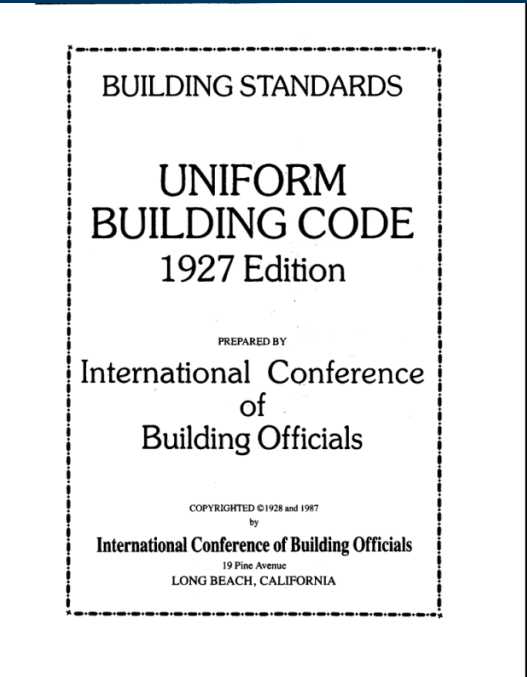


## 2014 BSSC Seismic Design Value Maps



- Since the earliest building codes containing seismic criteria, required seismic forces have been based on seismic risk maps
- The maps are based on science
- Design of buildings is not science, but rather, an application of engineering judgment, informed by science
- Since 2000, seismic design maps have resulted from collaboration of:
  - USGS – Science
  - BSSC - Engineering

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## The Beginning

- No seismic map provided
- If you wanted to design for earthquake, you designed for it!
  - Soils with allowable bearing load of 2 tons/sq ft or greater - 0.075W
  - All other soils – 0.10W

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## The first seismic risk map 1935 UBC

- Provided qualitative rating of seismic risk, based on observed seismicity in the Western U.S.
- Design values not directly tied to anticipated ground acceleration

$$F = CW$$

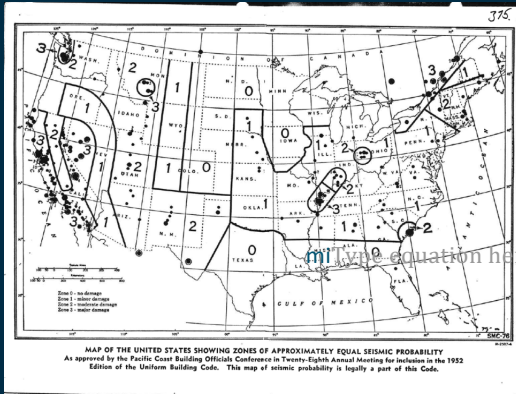
Zone	Firm soil Q > 2ksf	Soft soil Q < 2ksf
1	0.02	0.04
2	0.04	0.08
3	0.08	0.16

- Zone 1 – regions not subject to frequent seismic disturbances
- Zone 2 – twice the forces shown
- Zone 3 – four times the forces shown

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## The Map Goes National - 1949 UBC



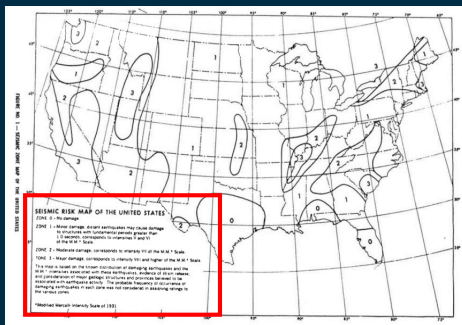
- For reference purposes, maps shows “intensity dots” representing historic earthquakes – suggesting tie between design values and MMI
- Zones of approximately equal seismic probability
  - Zone 0 – no damage
  - Zone 1 – minor damage
  - Zone 2 – moderate damage
  - Zone 3 – major damage
- Base shear tied loosely to spectral response

$$C = \frac{.15}{N + 4.5} \quad (13\% \text{ for single story buildings in zone 3})$$

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## 1960s-1973



- Clear tie to MMI
  - Zone 1 – minor damage – corresponds to intensity V or VI MMI
  - Zone 2 – moderate damage – MMI VII
  - Zone 3 – MMI VIII or higher
- Links to spectral acceleration strengthened and improved

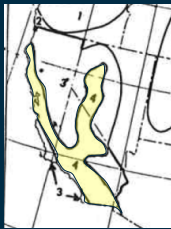
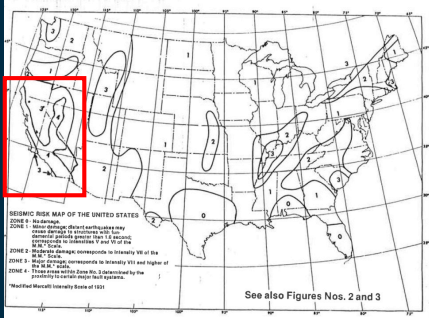
$$F = ZKCW$$

$$C = \frac{.05}{\sqrt[3]{T}} \leq 0.1$$

Zone	Z
0	0
1	¼
2	½
3	1

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## 1976 UBC

- Introduced zone 4 – “those sites within zone 3 determined by their proximity to major active faults”

$$F = ZIKCSW$$

$$C = \frac{1}{15\sqrt{T}} \leq 0.12$$

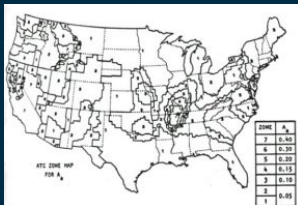
$$CS < 0.14$$

- Occupancy Importance “I” and Soil Factor “S” added
- Soil Factor function of Site Period  $T_s$

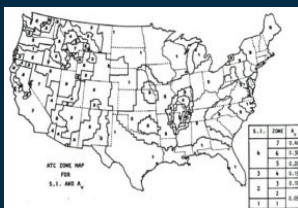
Zone	Z
1	3/16
2	3/8
3	3/4
4	1

Base Shear = 0.186g (worst case)

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$A_a$



$A_v$

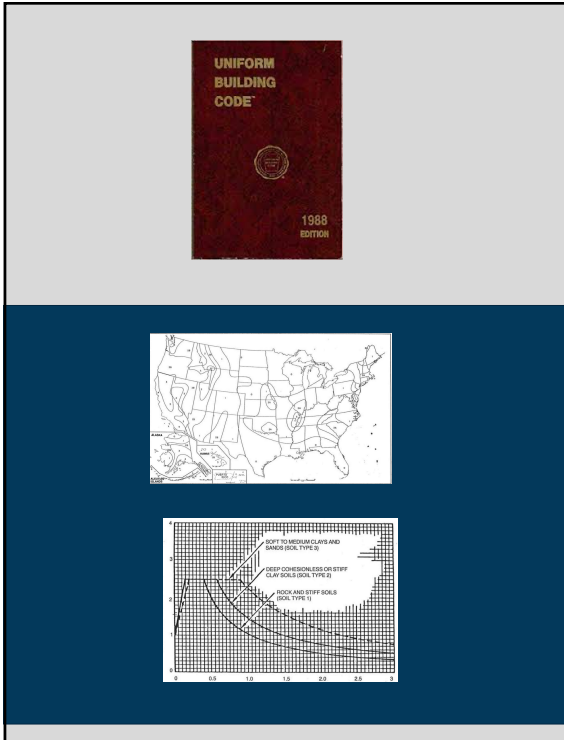
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## ATC-03 1978

- Introduced separate zonation maps based on:
  - $A_a$  – effective peak acceleration
  - $A_v$  – effective peak velocity
  - (Similar to present day  $S_{DS}$  and  $S_{D1}$  but without site class consideration)
- Maintained concept of “zones” with uniform force criteria throughout zone
- Declared that the  $A_a$  and  $A_v$  values represented 475-year (10%-50 year exceedance motions)
- Base shear equations directly tied to design ground motion spectral response accelerations

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## 1988 UBC

- Mostly adopted ATC 3-06 criteria
  - Retained seismic zone map
  - Z became (but was not called) the effective peak ground acceleration

TABLE NO. 23-I  
SEISMIC ZONE FACTOR Z

ZONE	1	2A	2B	3	4
Z	0.075	0.15	0.20	0.30	0.40

- Base shear equation re-formulated

$$V = \frac{ZIC}{R_w}W$$

$$C = \frac{1.25}{ST^{2/3}} < 2.75$$

- Base Shear = 0.183W (worst case)

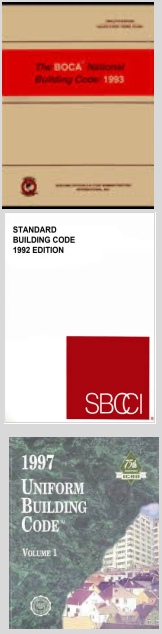
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## NEHRP Provisions

- 1988 edition transcribed into:
  - 1992 Southern Standard Building Code
  - 1993 National (BOCA) Building Code
- Retained 500-year  $A_a$  and  $A_v$  maps
- Retained "strength level" base shear forces (essentially 1.4 UBC / ASD levels)
- 1997 UBC ultimately adopted:
  - Strength level forces
  - $C_a$  and  $C_v$  concepts (similar to  $A_a$  and  $A_v$ )

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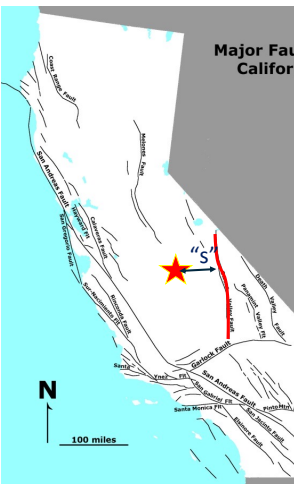
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## The International Building Code

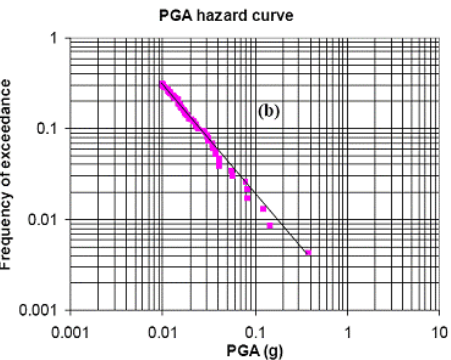
- By the mid-1990s, BOCA, ICBO and SBCCI were talking about collaborating to produce a single code
- Reconciliation of the three codes (and two seismic design procedures) became an important focus of BSSC
- In 1994, BSSC and USGS formed the Seismic Design Values Working Group to develop a unified approach to seismic hazard characterization in the building codes
  - In the eastern U.S. – 500-year ground motion did not capture historic events (1811-12 New Madrid, 1886 Charleston)
  - In the western U.S. – longer return period ground motion resulted in unreasonably high design values

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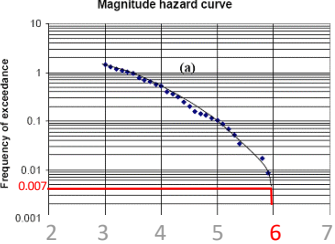
## Probabilistic Seismic Hazard Analysis



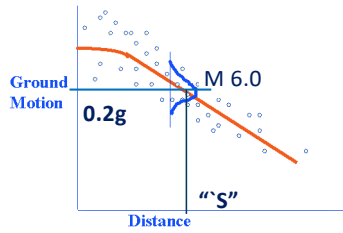
Major Faults of California



PGA hazard curve (b)



Magnitude hazard curve (a)



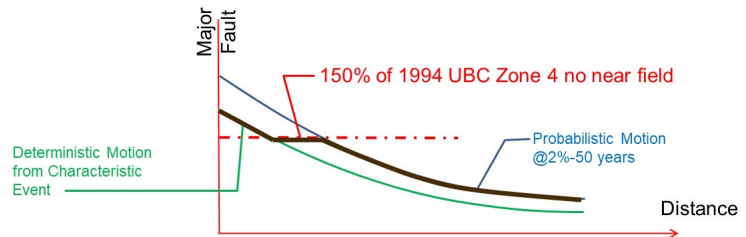
Ground Motion vs Distance

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Project '97  
"the Goldilocks solution"

- Define MCE as 2%-50 year exceedance motion, unless this exceeded 150% of 1994 UBC Zone 4 motion
  - Site located close to major active fault
  - Use 150% of median motion from a "characteristic" event on the proximate fault (or faults), but not less than 150% of 1994 UBC motion
- Design motion taken as 2/3 MCE motion

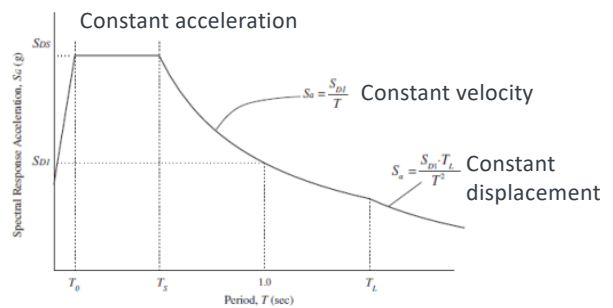
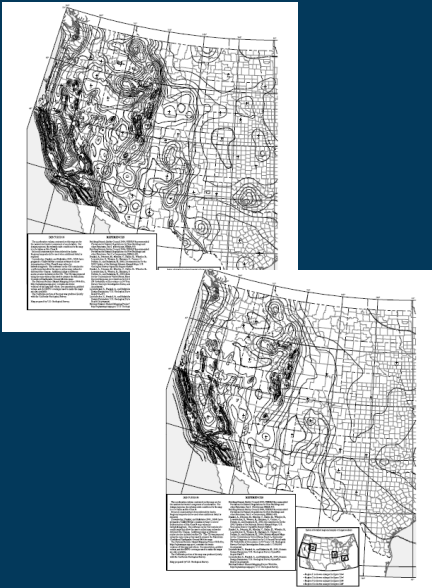


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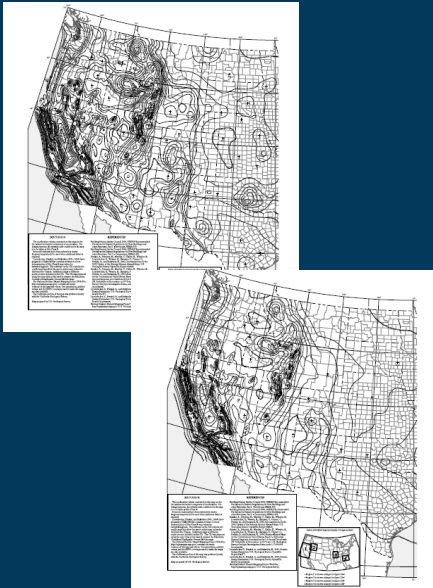
## 1997 NEHRP Provisions ASCE 7-98, 7-02, IBC 2000

- $S_s$  and  $S_1$  maps
- 5 Site Classes (A, B, C, D, E and F)
- Base shear equations tied to classic Newmark & Hall Spectrum



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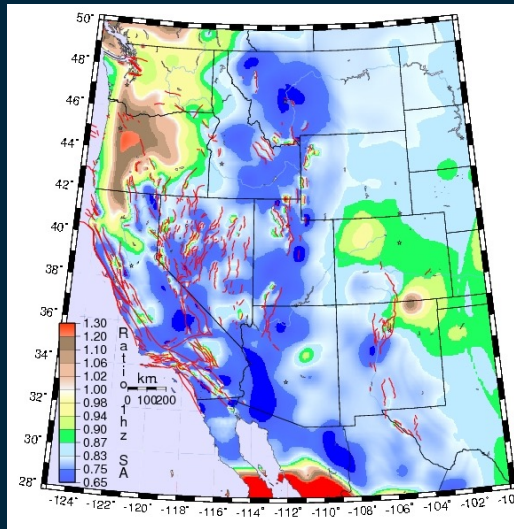


## 1997 NEHRP Provisions ASCE 7-98, 7-02, IBC 2000

- Significant variation in ground motion intensity and design base shear from location to location
- New maps impossible to read in areas of high seismicity
  - USGS Digital tool
- As scientific opinion on:
  - Sources
  - Recurrence
  - GMPEs
 Changed, to did the values

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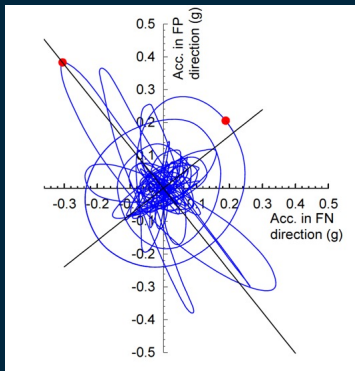
$$2\%-50 \text{ years } \frac{S_{1-2008}}{S_{1-2002}}$$

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## Project '07

- Joint BSSC – USGS project to determine how new scientific knowledge should be used for the next generation (ASCE 7-10) maps
  - New GMPEs
  - Account for directionality of motion
  - “Deal” with:
    - unhappiness in eastern U.S. that they were now having to design for “California” ground motions
    - Unhappiness in California that they would now design for lower motions than had historically been used.

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## Ground Motion Directionality

- Typical ground motion recording includes
  - X component
  - Y component
  - oriented at  $90^\circ$
- Ground Motion Prediction Models use "geomean"
  - For this motion:
    - $X=0.28g$ ,  $Y=0.5g$ ,  $GM=0.37g$
  - Structural engineers on the committee felt GM had no particular relevance and felt more comfortable designing for the maximum component (factor of 1.1 for short period, 1.3 for long period)

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## Uniform Hazard v. Uniform Risk

- Under ASCE 7.05, design values in Memphis, TN, San Francisco, CA and Los Angeles, CA are similar
- Yet in past 200 years
  - S.F. has experienced at least 5 significant earthquakes (1836, 1868, 1906, 1957, 1989)
  - LA has experienced at least 8 significant earthquakes (1857, 1933, 1952, 1971, 1979, 1987, 1993, 1994)
  - Memphis has experienced only one series of events (all in 1811-1812)
- Engineers in the Memphis region complained that it did not make sense given this experience that the design requirements were the same
- This is because we were designing for uniform risk of ground motion exceedance, not uniform risk of collapse

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## Risk of Collapse

$$P(\text{collapse}) = \# \text{ of collapses per yr} = \int_{S_a(T)=0}^{S_a(T)=\infty} P(\text{collapse}|S_a(T))P(S_a(T))d\lambda$$

**Fragility**

**Hazard**

*Annual Collapses at 1.5g =  $\frac{.001}{\text{year}} * 0.3\text{prob given } 1.5g$*

*= 0.0003/year*

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## Risk Coefficient Maps

FIGURE 22-17 Mapped Risk Coefficient at 0.2 s Spectral Response Period,  $C_w$

- 2%-50 year ground motion adjusted by risk coefficients
  - ~0.7 in eastern U.S.
  - ~1.1 in western U.S.
- Resulting ground motion maps referenced by:
  - 2009 NEHRP Provisions
  - ASCE 7-10, ASCE 7-16
  - IBC 2012, 2015, 2018, 2021
- As the 2014 NEHRP Provisions cycle concluded, two issues surfaced:
  - Realization that the “classic” Newmark-Hall spectrum didn’t always work very well
  - General unhappiness with the “pogo stick”

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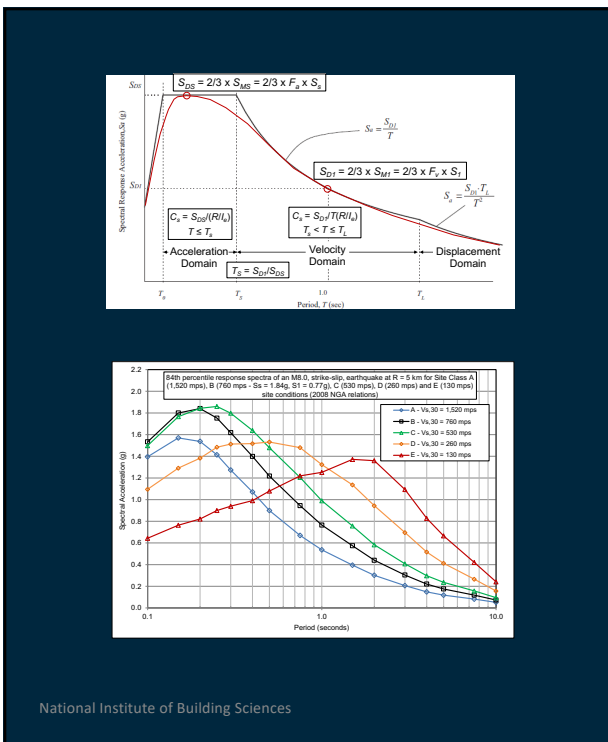




## Project '17

- Joint BSSC – USGS project to determine how new scientific knowledge should be used for the next generation (ASCE 7-22) maps
- Primary Issues:
  - Very large magnitude earthquakes (Cascadia subduction zone)
  - Inclusion of basin effects
  - Spectral shape
  - Precision v Uncertainty
  - Acceptable Risk
  - Use of deterministic caps
  - “Pogo stick”

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## Spectral Shape Problem

- The classic “two-parameter” Newmark & Hall spectrum, in use since ATC3-06 does not match the spectral shape of ground motion from:
  - Large magnitude earthquake ( $M > 7$ )
  - Soft soil sites (Class D, E, F)
- Solution - Multiperiod Response Spectrum (MPRS)
  - USGS provides Spectral Acceleration values at 20 periods (0, 0.1, ..., 10 sec)
  - Values are site-class adjusted
  - To minimize the change between sites, new intermediate site classes adopted (A, B, BC, C, CD, D, DE, E, F)
  - $F_a$  and  $F_v$  values previously used to adjust  $S_a$  and  $S_v$  dropped

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1991 1 Map

2000 14 Maps

2005 20 Maps

2010 32 Maps

## The Map Dilemma

- With the addition of spectral values at 20 periods for each of 9 site classes, nearly 200 maps would be needed
- NEHRP 2020 and ASCE 7-22 use digital conveyance only
- Access available through a free online web tool maintained by ASCE

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**Ronald O. Hamburger**  
Project 17 Director

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# Chapter 3 (Section 3.2 - Part 1) The 2018 Update of the USGS National Seismic Hazard Model

2020 NEHRP Provisions Training Materials  
Sanaz Rezaeian, Ph.D., USGS



FEMA



Building Seismic  
Safety Council

BSSC

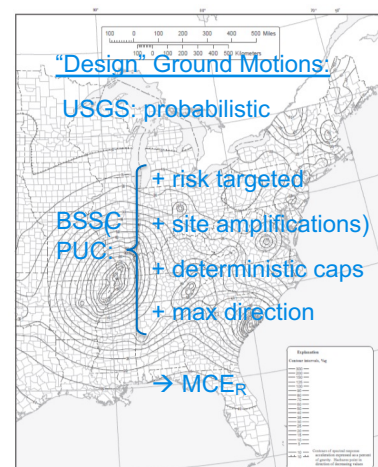


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science for a changing world

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## Outline

1. Interplay between the USGS hazard models and the BSSC PUC requirements
2. The 2018 USGS National Seismic Hazard Model (NSHM) for Conterminous U.S.
  - Ground motion models in CEUS (e.g. NGA-East)
  - Deep basin effects in WUS
3. Outside of the Conterminous U.S. (HI, AK, PRVI, GNMI, AMSAM)



FEMA



Building Seismic  
Safety Council

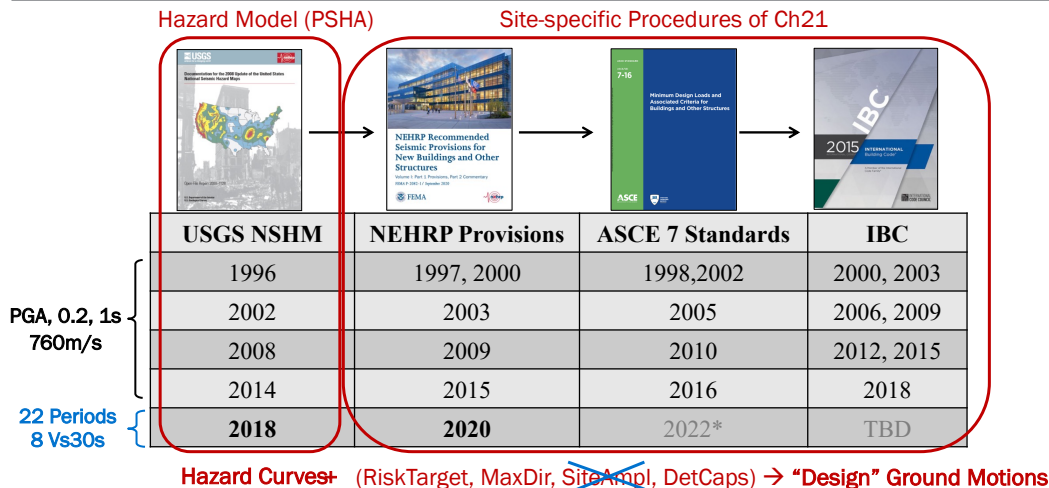


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# USGS NSHMs & BSSC PUC Requirements



# Updates to 2020 NEHRP Design Ground Motions in Conterminous US

## 2018 USGS NSHM

**Updated hazard model (eqk sources, GMMs)**



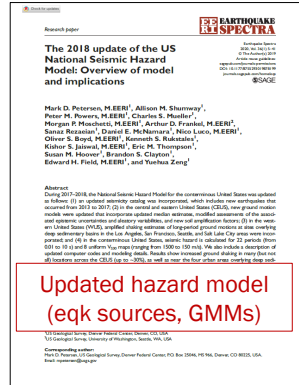
## BSSC Project '17

**Updated site-specific procedures of Ch21**



## Updates to 2020 NEHRP Design Ground Motions in Conterminous US

### 2018 USGS NSHM



### BSSC Project '17

No change to risk-targeted calcs

1. Using **multi-period multi-Vs30 response spectrum (MPRS)**
2. Modifying **deterministic caps** based on deaggregation of probabilistic hazard
3. Updating the **max-direction** factors

**MPRS issue directly influenced the 2018 update of USGS NSHM (GMMs applicable for all periods and site classes)**



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## Updates to 2020 NEHRP Design Ground Motions in Conterminous US

### 2018 USGS NSHM

Necessary for MPRS

1. New ground motion models (GMMs), including **NGA-East**, & amplification factors in the Central & Eastern US (CEUS)
2. Deep **basin effects** in Los Angeles, Seattle, San Francisco, and Salt Lake City regions
3. Minor modifications of GMMs (crustal & subduction) in the Western US (WUS)
4. Updating **background seismicity** to include 2013-2017 earthquakes



### BSSC Project '17

No change to risk-targeted calcs

1. Using **multi-period multi-Vs30 response spectrum (MPRS)**
2. Modifying **deterministic caps** based on deaggregation of probabilistic hazard
3. Updating the **max-direction** factors

**MPRS issue directly influenced the 2018 update of USGS NSHM (GMMs applicable for all periods and site classes)**



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## Old CEUS Ground Motion Models

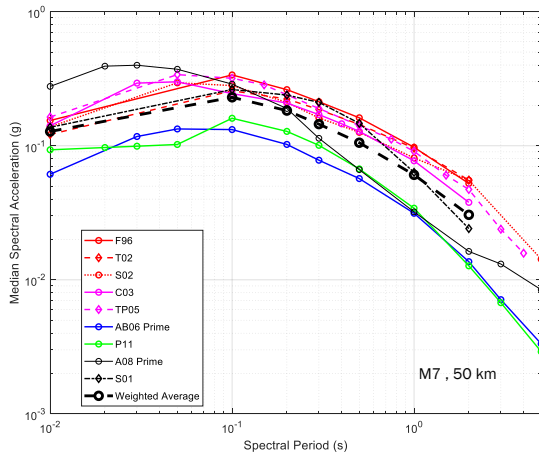


Table from Rezaeian et al. (2021):

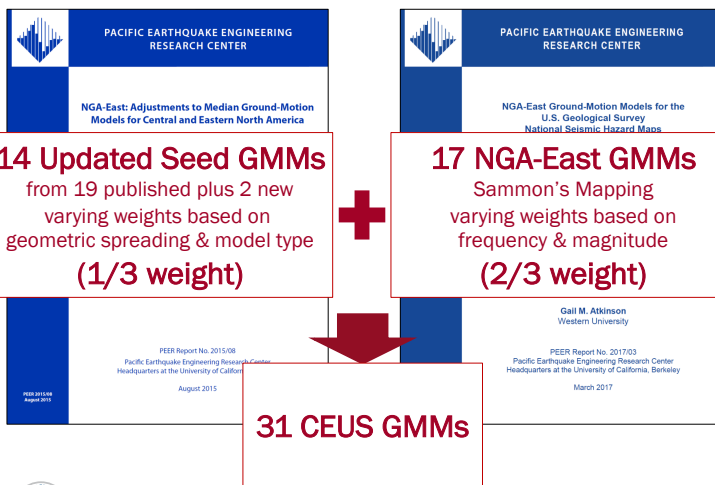
2014 CEUS GMMs:	Period Range	Site Classes
AB06'	PGA to 5 s	A, BC (A to E)
A08'	PGA to 5 s	A, BC (A to E)
C03	PGA to 2 s (4 s)	A, BC*
F96	PGA to 2 s	A, BC
P11	PGA to 5 s (10 s)	A, BC*
S02	PGA to 5 s (10 s)	A, BC*
S01	PGA to 2 s (4 s)	A, BC*
TP05	PGA to 4 s	A, BC*
T02	PGA to 2 s	A, BC*

Parentheses indicate the published range when a different range is supported in the USGS codes.  
\*Through conversion factors.

Figure citation: Rezaeian et al. (2021). "The 2018 update of the US National Seismic Hazard Model: Ground motion models in the central and eastern US," *Earthquake Spectra*. doi: [10.1177/8755293021993837](https://doi.org/10.1177/8755293021993837)



## New CEUS Ground Motion Models

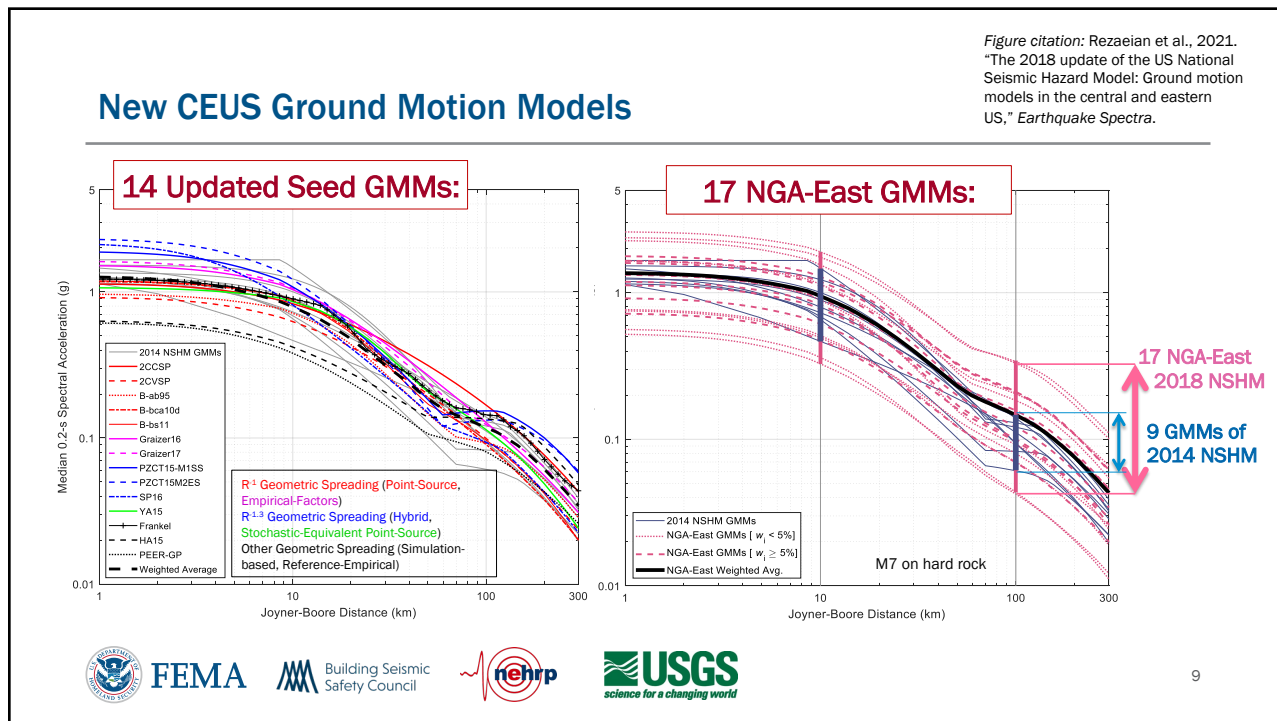


### Changes made to:

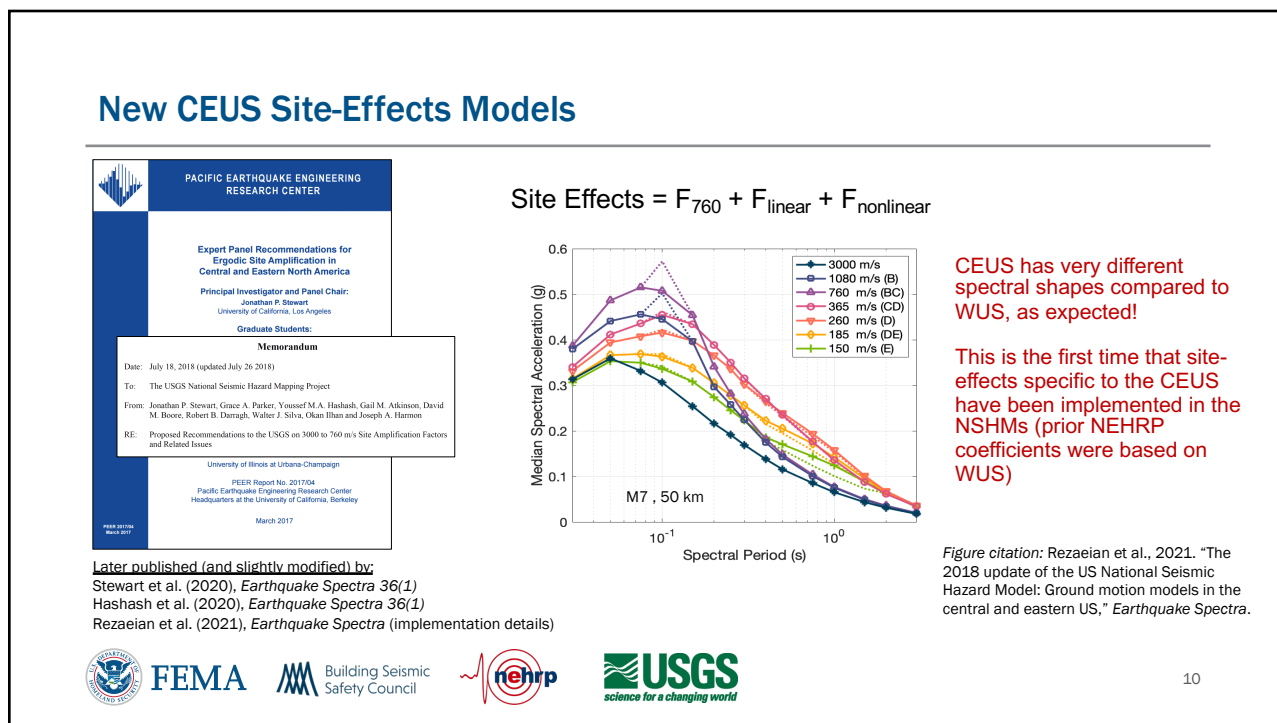
1. Median ground motions (increases for large M, middle to large distances)
2. Epistemic uncertainty (increased)
3. Aleatory uncertainty (minor)







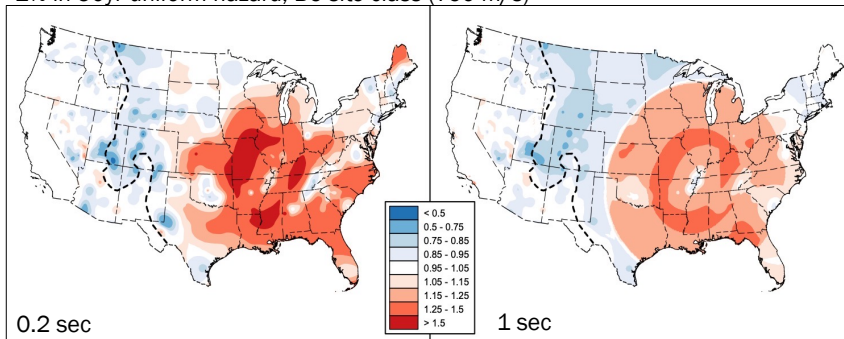
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## Hazard Changes (CEUS)

Ratio Maps (2018/2014):  
2% in 50yr uniform hazard, BC site class (760 m/s)



**Medians:** more significant increases for large M at mid-large distances

**Epistemic uncertainty:** increased significantly for large M, more around 70-100 km

**Aleatory uncertainty:** minor changes

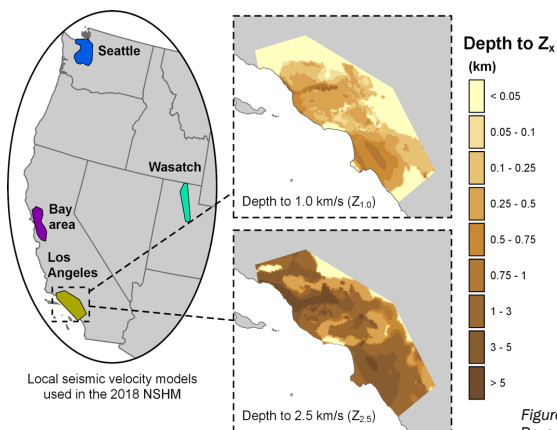
**Site-effect model:** only  $F_{760}$  in this figure

**Seismicity catalog updates:** outside CA, mostly affecting intermountain west region

Figure citation: Petersen et al., 2021. "The 2018 update of the US National Seismic Hazard Model: Where, why, and how much probabilistic ground motion maps changed," *Earthquake Spectra*.



## Deep Basin Effects



**Categorized by:**  
basin depth terms  $Z_{1.0}$  &  $Z_{2.5}$

**Within basins:**  
measurements only in deep portions of basins are used, "default" values are used in shallow depths

**Outside basins:**  
"default" values are used

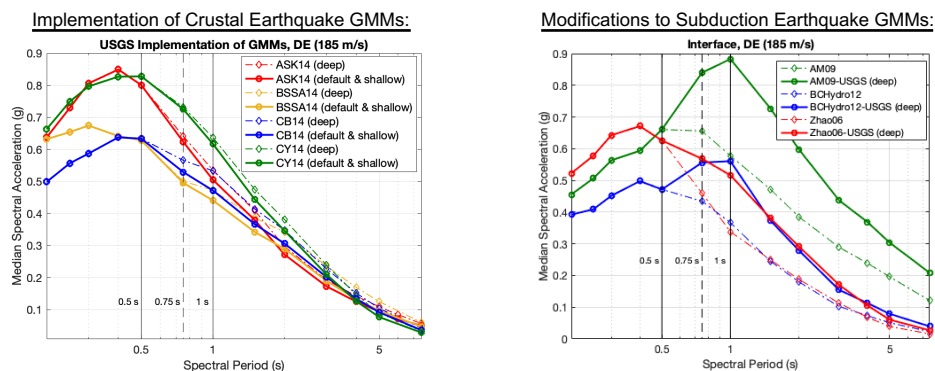
Figure citation: Map of basin locations from Shumway AM, Petersen MD, Powers PM, Rezaeian S, Rukstales KS, Clayton BS, 2021. "The 2018 update of the US National Seismic Hazard Model: Additional period and site class data," *Earthquake Spectra*, 37(2):1145-1161, doi:10.1177/8755293020970979.



## Deep Basin Effects

Figure citation: Powers et al., 2021. "The 2018 update of the US National Seismic Hazard Model: Ground motion models in the western US," *Earthquake Spectra*. doi: [10.1177/8755293021101200](https://doi.org/10.1177/8755293021101200)

Minor modifications made to crustal and subduction models.  
Basin effects fully applied at periods above 1 sec:



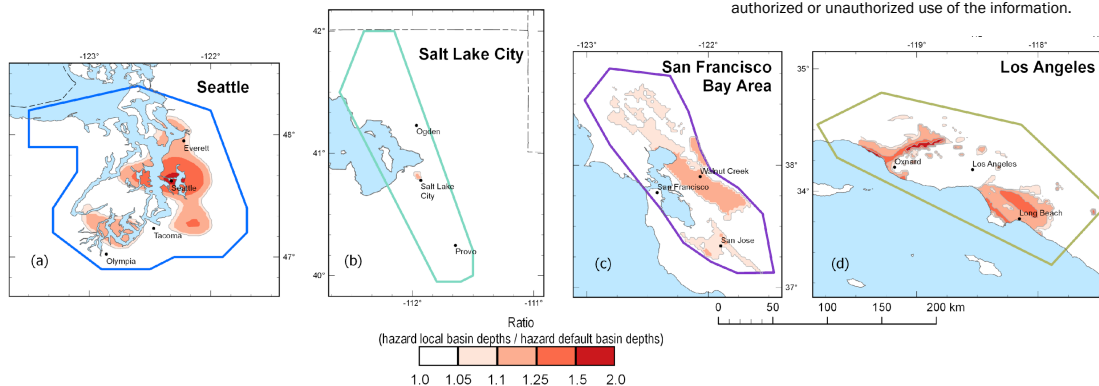
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## Hazard Changes (WUS)

Ratio Maps (2018 local basin depth/2018 default basin depth):  
2% in 50yr uniform hazard, 5 sec, Site Class D (260 m/s)

*Disclaimer:* This information is preliminary and is subject to revision. It is being provided to meet the need for timely best science. The information is provided on the condition that neither the U.S. Geological Survey nor the U.S. Government shall be held liable for any damages resulting from the authorized or unauthorized use of the information.



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## Outside of Conterminous US (OCONUS)



### Developed Generic Spectral Shapes:

FEMA/ATC report, approved by BSSC PUC.

Shapes developed based on WUS data, function( $S_s, S_s/S_1, T_L$ )

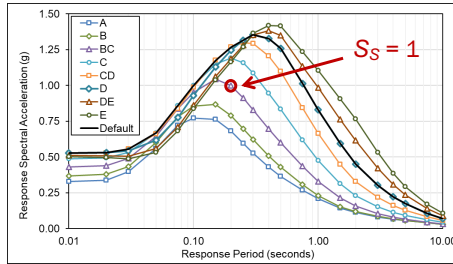
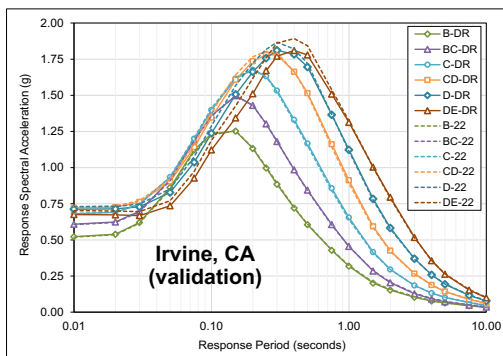


Figure B-17. Plots of probabilistic response spectrum shape parameters (RSSPs) by site class for Table B-17. GTL12S3R2.

Figure citation: Kircher C, Rezaeian S, Luco N - FEMA P-2078 (2020), Procedures for Developing Multi-Period Response Spectra of Non-Conterminous United States Sites, FEMA P-2078, prepared by ATC for FEMA, Washington, D.C.



## Outside of Conterminous US (OCONUS)



Solid Lines: Predicted values from  $S_s$  &  $S_1$   
Dashed Lines: Exact values calculated for 2020 NEHRP

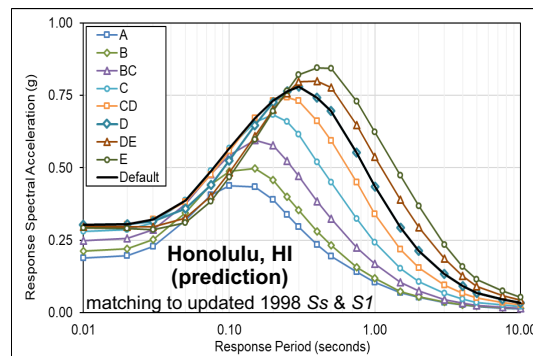


Figure citation: Kircher C, Rezaeian S, Luco N - FEMA P-2078 (2020), Procedures for Developing Multi-Period Response Spectra of Non-Conterminous United States Sites, FEMA P-2078, prepared by ATC for FEMA, Washington, D.C.



## Summary

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- The Multi-Period-Response-Spectra requirement of the BSSC PUC influenced the 2018 update of USGS NSHM because GMMs needed to be applicable for 22 periods and 8 site classes
- The 2018 USGS NSHM updates included: (1) new GMMs in CEUS (14 updated seeds + 17 NGA-East + new site-effects model), (2) incorporation of deep basin effects in WUS, (3) removal of one crustal and one subduction GMM and minor modifications in WUS, and (4) update of seismicity catalog.

1. [Petersen et al. \(Feb 2020\), Earthquake Spectra \(Overview\)](#)

2. [Petersen et al. \(2021\), Earthquake Spectra \(sensitivity analysis\)](#)

3. [Shumway et al. \(2021\), Earthquake Spectra \(data paper on added Ts and Vs30s\)](#)

4. [Rezaeian et al. \(2021\), Earthquake Spectra \(CEUS GMM details\)](#)

5. [Powers et al. \(2021\), Earthquake Spectra \(WUS GMM and basin effect details\)](#)

- Generic spectral shapes used for OCONUS locations in 2020 *NEHRP Provisions* (FEMA P-2078 / ATC 136)



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## Questions

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